

# A NETWORK SHADOW FADING MODEL FOR AUTONOMOUS INFRASTRUCTURE WIRELESS NETWORKS

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## ABSTRACT

In traditional cellular networks the base stations (BSs) are more or less regularly deployed. In the channel model for such networks, correlated channel gains are only considered between a BS and multiple terminals (or one terminal and multiple BSs). Although the literature has introduced efficient techniques to produce correlated channel gains, to our knowledge it has not reported on the correlation between pairs of links with no common end. This may be a reasonable model for large cell sizes (or equivalently the large inter-site-distances) in the traditional networks. In future networks, however, it is expected that a large number of BSs will be deployed in random positions. With the dense deployment of BSs, appropriate correlation channel models should be developed. This paper proposes a novel shadow fading model which enables the generation of correlated shadow fading gains between all links in the network.

**Index Terms**— Correlated shadow fading, Autonomous cellular network, Self-organizing network (SON), Channel modeling

## 1. INTRODUCTION

The propagation of electromagnetic waves in a wireless communication system is studied from two main standpoints. The first deals with the signal power attenuation on a macroscopic level and is often referred to as large-scale (or shadow) fading. The second class considers the fine structure of the multipath propagation and is known as small-scale (or multipath) fading. Multipath fading studies the time and frequency variations of the channel impulse response due to the differences in delays, phases and amplitudes of the multiple reflections of the transmitted signal arriving from different directions at the moving/static receiver. In this paper, we exclusively focus on the former class.

Large scale fading predicts the average received signal power level at a given distance from the transmitter. This power is not only a function of the distance but also highly dependent on the unique topographical properties of the environment. The physical man made/natural obstructions in

the environment should be incorporated into the signal attenuation model. However, due to the lack of accurate detailed propagation measurements and landscape information — or to develop a generic model — the effect of the environment on signal propagation is modeled by introducing a degree of randomness in the average received power level. Based on empirical results, the randomness has been modeled by a log-normal random variable in the signal attenuation model and the effect is referred to as shadow (lognormal) fading.

Due to the inherent spatial correlation in the topography of the environment, a proper spatial correlation model should also be considered. In the special case of a link between a fixed base station and a moving terminal, the channel gain experienced by the terminal cannot change abruptly after having traveled a relatively small distance. The first such model was introduced in [1]. It was further developed to capture the correlation between one mobile terminal and multiple base stations in a cellular network [2, 3]. More recently, two-dimensional correlation patterns were proposed to facilitate the generation of correlated channel gains [4, 5].

Although the literature has introduced efficient techniques to produce correlated channel gains, to our knowledge it has not reported on the correlation between pairs of links with no common end. The existing models consider the correlation between links either between a terminal and multiple BSs or between a BS and multiple terminals. This type of correlation, however, is particularly important in autonomous networks where the base stations are no longer deployed according to a regular pattern. The irregularity of the deployment of base stations can result in a dense deployment within the same locality (with similar/correlated topographical properties). In this case, the correlation between a pair of links between two terminals and two base stations becomes crucial for a realistic evaluation of the system performance.

This paper presents a network correlation model for shadow fading. Section 2 provides a review of the shadow fading principles together with the correlation models available in the literature. In section 3, we introduce the concept of potential field based on which the network correlation model is derived. Different properties of the proposed correlation

model are also examined in this section. Finally section 4 concludes the paper with a summary of the model and future work.

## 2. BACKGROUND MATERIAL

Consider the link between a transmitter and a receiver. In free space with unobstructed line of sight between the transmit-receive pair, the Friis formula gives the received signal power level,  $P_r$ , as a function of the transmit power level  $P_t$ , the transmitter antenna gain  $G_t$  and the receiver antenna gain  $G_r$  as follows:

$$P_r = P_t G_t G_r \left( \frac{\lambda}{4\pi d} \right)^2$$

where  $\lambda$  is the wavelength of the transmitted signal and  $d$  is the distance between the transmitter and the receiver.

In terrestrial communication, the received signal power level is a complex function of the topography. To begin with, the rate at which the received power decays with distance is a function of the communication environment (e.g. urban, rural or indoor). On average this rate is mathematically modeled as the pathloss exponent  $\gamma$ . Let us take two links of the same length but sufficiently far from each other in the same environment. Although the distance between the transmitter and the receiver is the same for both, the unique topographical properties cause the received signal powers to be different. Due to the lack of detailed propagation measurements, this effect is statistically studied by introducing a random variable into the equation. Hence the received signal power is given by,

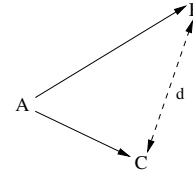
$$P_r = K \cdot \frac{1}{d^\gamma} \cdot L$$

where the constant  $K$  is a function of the antenna gains, transmission frequency, transmission power and other factors.  $L$  is the introduced random variable and is referred to as the shadow fading gain. Based on empirical results,  $L$  is modeled as a lognormal random variable, i.e.  $\log L \sim \mathcal{N}(0, \sigma_0^2)$ , where  $\mathcal{N}(0, \sigma_0^2)$  represents the Gaussian distribution with a mean of zero and a standard deviation of  $\sigma_0$ .

Due to the fact that the topographical properties of the terrain do not change abruptly — the  $L$  values for different links are spatially correlated — an appropriate correlation model should be adopted. In 1991, Gudmundson proposed a correlation model [1] as follows: Let us consider the link from point A to point B and the link from point A to point C in Figure 1. The distance between points B and C is denoted by  $d$ . The correlation between the links A-B and A-C is a function of  $d$  as follows:

$$R(d) = \sigma_0^2 e^{-d/d_c} \quad (1)$$

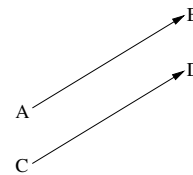
where  $d_c$  is the correlation distance.



**Fig. 1.** Two links from a common point to two points apart by a distance of  $d$

In [4] a two-dimensional spatial correlation model is proposed for a cellular network. The model generates the correlated shadow fading gains between a BS and multiple terminals. In order to do so, for each BS, a two-dimensional Gaussian random field with appropriate marginal distribution and spatial correlation is generated<sup>1</sup>. The shadow fading gain between the BS and any terminal in the field is the value of the field at the terminal.

In this paper, we propose a general network shadow fading model to generate correlated shadow fading gains between any two links in the network. In particular, consider the following two links A-B and C-D (see Figure 2). The two transmitters A and C are located close to each other. Similarly receivers B and D are also located close to one another. Clearly the shadow fading gains of the two links should be correlated. However, since the two links do not share a common end, the Gudmundson correlation model would not introduce correlation between the shadow fading gains for the above two links. Our model, on the other hand, introduces an appropriate correlation between such links.



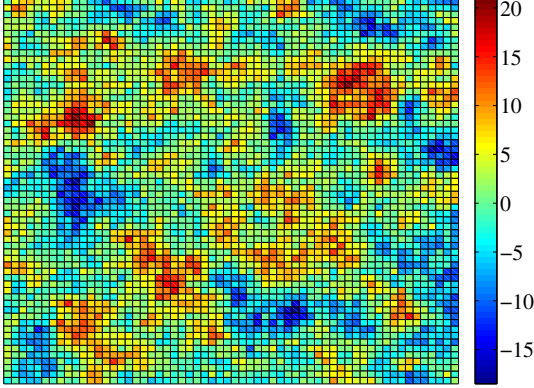
**Fig. 2.** A pair of links with the same topographical properties

## 3. NETWORK CORRELATION MODEL

We introduce a Gaussian field in the network coverage area, which unlike previous work is not dependent on a particular BS. The Gaussian field is a random process where each realization is a function from the plane to the real numbers. If we fix a point in the plane, the outcome is a Gaussian random variable. Random variables corresponding to 2 different points are jointly Gaussian with a standard deviation of  $\frac{\sigma_0}{\sqrt{2}}$

<sup>1</sup>The underlying spatial correlations are generated based on the Gudmundson model.

and the correlation model in (1). Figure 3 illustrates a realization of this field which is referred to as the *shadow fading potential field*. The value of the field at point A defines the *potential level* of point A and is denoted by  $X_A$ . As two points A and B come closer to each other, the correlation between their corresponding potential levels increases, i.e. the random variable formed by the difference should have a variance that approaches zero.



**Fig. 3.** A realization of the network potential field

The shadow fading gain of a link between point A and point B is defined as the exponential of the function  $f(X_A, X_B)$ . In order to comply with the properties of shadow fading, the governing function  $f(\cdot, \cdot)$  should have the following three properties:

- **Symmetry:** The channel gain is intrinsically symmetric. Regardless of which end the transmitter (or the receiver) lies, the channel gain should be the same.
- **Continuity:** As the two ends of a link come closer to each other, the randomness due to the topography of the environment diminishes. This should translate into a smaller variance for the shadow fading gain.
- **Compatibility:** Based on empirical results, the shadow fading gain in the logarithmic scale (dB) is modeled as a zero-mean Gaussian random variable with a standard deviation of  $\sigma_0$  dB.

With this set of requirements, the following function is proposed for the shadow fading gain (in dB) between point A and point B:

$$f(X_A, X_B) = \text{sgn}(X_A + X_B) \cdot |X_A - X_B|$$

where,  $\text{sgn}(t)$  is the signum function.

The proposed function satisfies the above required conditions. It clearly satisfies the symmetry and continuity conditions. The compatibility condition should however be verified.

**LEMMA:** If  $S$  and  $T$  are two independent Gaussian random variables with zero mean and standard deviations of  $\sigma_S$  and  $\sigma_T$  respectively, the random variable  $Z = \text{sgn}(S) \cdot |T|$  has a Gaussian distribution with zero mean and a standard deviation of  $\sigma_T$ .

**PROOF:** Since  $X$  is a zero-mean Gaussian random variable,  $t = \text{sgn}(X)$  is a discrete random variable with  $P(t = 1) = P(t = -1) = 0.5$ . The cumulative distribution function of  $Z$  is

$$F_Z(z) = P(Z \leq z) = 0.5P(|T| \leq z) + 0.5P(-|T| \leq z)$$

or,

$$F_Z(z) = \begin{cases} \frac{1}{2}P(|T| \leq z) + \frac{1}{2} & , z \geq 0 \\ \frac{1}{2}P(-|T| \leq z) & , z < 0 \end{cases}$$

It is well-known that the random variable  $L = |T|$  has a half-normal distribution with probability distribution function

$$f_L(l) = \sqrt{\frac{2}{\pi\sigma_T^2}} \exp\left(-\frac{l^2}{2\sigma_T^2}\right)$$

Hence when  $z \geq 0$ ,

$$\begin{aligned} F_Z(z) &= \frac{1}{2}P(L \leq z) + \frac{1}{2} \\ &= \frac{1}{2} \left( 1 + \sqrt{\frac{2}{\pi\sigma_T^2}} \int_0^z \exp\left(-\frac{l^2}{2\sigma_T^2}\right) dl \right) \\ &= \frac{1}{2} \left( 2 - \sqrt{\frac{2}{\pi\sigma_T^2}} \int_z^{+\infty} \exp\left(-\frac{l^2}{2\sigma_T^2}\right) dl \right) \\ &= 1 - \frac{1}{\sqrt{2\pi\sigma_T^2}} \int_z^{+\infty} \exp\left(-\frac{l^2}{2\sigma_T^2}\right) dl \\ &= \frac{1}{\sqrt{2\pi\sigma_T^2}} \int_{-\infty}^z \exp\left(-\frac{l^2}{2\sigma_T^2}\right) dl \end{aligned}$$

and for  $z < 0$ ,

$$\begin{aligned} F_Z(z) &= \frac{1}{2}P(-L \leq z) \\ &= \frac{1}{2}P(L \geq -z) \\ &= \frac{1}{\sqrt{2\pi\sigma_T^2}} \int_{-z}^{+\infty} \exp\left(-\frac{l^2}{2\sigma_T^2}\right) dl \\ &= \frac{1}{\sqrt{2\pi\sigma_T^2}} \int_{-\infty}^z \exp\left(-\frac{l^2}{2\sigma_T^2}\right) dl \end{aligned}$$

Hence,  $Z \sim \mathcal{N}(0, \sigma_T^2)$ .

■ Let  $S$  and  $T$  take the values of  $X_A + X_B$  and  $X_A - X_B$  respectively. Hence,

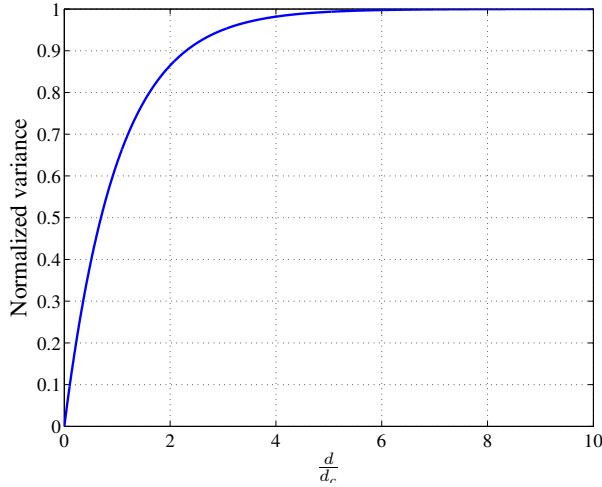
$$E\{ST\} = E\{(X_A + X_B)(X_A - X_B)\} = 0$$

And since  $S$  and  $T$  are both zero mean Gaussian random variables, it is concluded that  $S$  and  $T$  are independent. Applying the lemma to the shadow fading gain function the variance of the shadow fading gain is derived as:

$$\begin{aligned}\sigma^2 &= E\{(X_A - X_B)^2\} = \sigma_0^2 - 2E\{X_A X_B\} \\ &= \sigma_0^2 \left(1 - e^{-d_{AB}/d_c}\right)\end{aligned}$$

where  $d_{AB}$  is the distance between the points A and B. Figure 4 depicts the normalized variance ( $\sigma/\sigma_0$ ) as a function of the normalized distance ( $d/d_c$ ). It is observed that when the distance between the transmitter and receiver increases to more than 5 times the correlation distance, the variance matches that of the Gudmundson model, i.e.  $\sigma_0^2$ .

It is important to note that the variance of the Gudmundson model is not a function of distance. This violates the continuity property at  $d$  equal to zero. By introducing the underlying potential field, we are able to maintain this important property in our shadow fading model.



**Fig. 4.** Normalized variance of the shadow fading gain

### 3.1. Outage probability

The outage probability for a link in a wireless network is defined as follows:

$$P_{\text{out}}(d) = \text{Prob}(P_r \leq P_{\text{min}}) = \text{Prob}\left(\frac{K}{d^\gamma} \cdot l \leq P_{\text{min}}\right)$$

where,  $K$  is directly proportional to the transmit power of the BS and  $P_{\text{min}}$  is the minimum required received power. Let

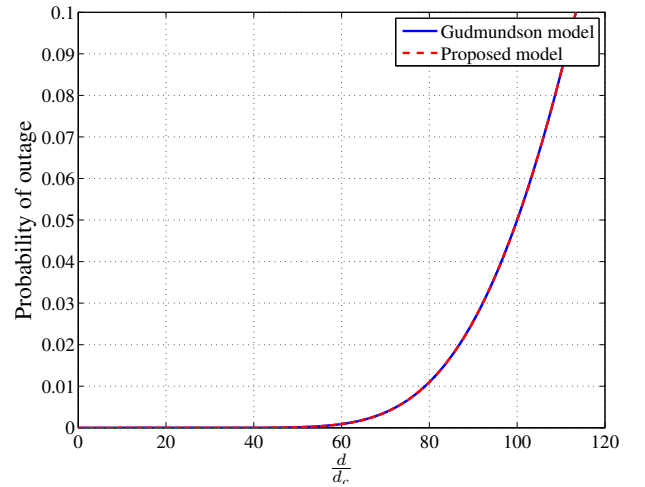
us define the *outage radius*  $R$  as the distance (from the BS) where the outage probability is equal to 5%. By setting the outage probability to 0.05 and solving for  $R$ , the outage radius becomes,

$$R = \left(\frac{K}{P_{\text{min}}} 10^{-\frac{\sigma\sqrt{2}}{10} \text{erfc}^{-1}(0.1)}\right)^{\frac{1}{\gamma}}$$

where  $\text{erfc}(\cdot)$  is the complementary error function. By increasing the transmit power  $K$ , the outage radius will move farther away from the BS and hence a larger cell is formed. In a cell with an outage radius  $R$ , the outage probability can be written as:

$$\begin{aligned}P_{\text{out}}(d) &= \text{Prob}\left(\frac{K}{d^\gamma} \cdot L \leq P_{\text{min}}\right) \\ &= \frac{1}{2} \text{erfc}\left(-\frac{10 \log_{10}\left(\frac{d}{R}\right)^\gamma - \sigma\sqrt{2} \text{erfc}^{-1}(0.1)}{\sigma\sqrt{2}}\right)\end{aligned}\quad (2)$$

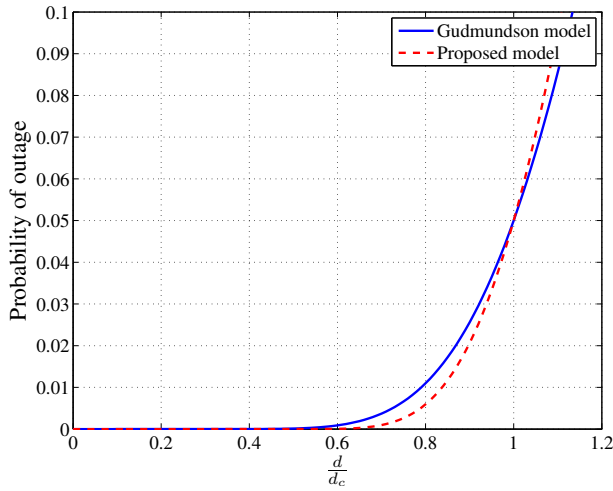
The outage probability as a function of the normalized distance for the Gudmundson and the proposed models are provided in Figure 5 and Figure 6 for an outage radius of  $100d_c$  (large cell) and  $d_c$  (small cell) respectively. It is evident that the two models predict the same outage probability for large cells. In small cells, however, the proposed model achieves lower outage probabilities for distances lower than the outage radius. This was expected due to the smaller variance of the model for short distances.



**Fig. 5.** Outage probability for large cells ( $R = 100d_c$ )

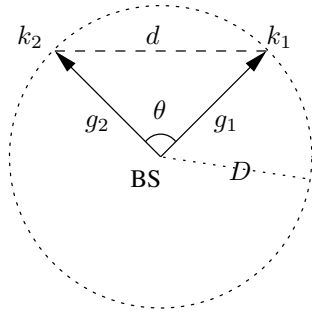
### 3.2. Correlation between two links with a common end

The proposed model provides a unified approach to generate correlated channel gains between any pairs of links in the net-



**Fig. 6.** Outage probability for small cells ( $R = d_c$ )

work. The Gudmundson model, however, produces correlation between the channel gains only when the two links share a common end. In order to compare the correlation properties of the two models, we consider this special case. Let the two terminals  $k_1$  and  $k_2$  be located at a distance  $D \gg d_c$  from the BS as illustrated in Figure 7. We study the correlation between the shadow fading channel gains  $g_1$  and  $g_2$  between the BS (the common end) and the two terminals  $k_1$  and  $k_2$  respectively. By varying the angle  $\theta$ , the correlation at different distances  $d$  are observed while keeping the distance between the terminals and the BS fixed.

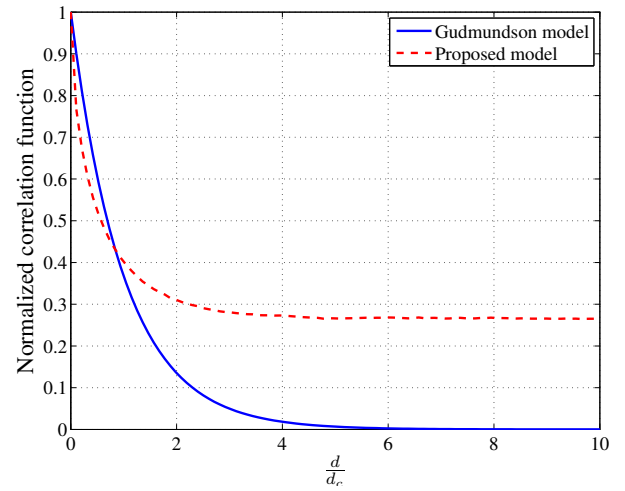


**Fig. 7.** Common end simulation model

Figure 8 depicts the normalized correlation functions of the two models. In the proposed model, although the correlation decreases for a larger  $d$ , it does not approach zero due to the common end shared by both links.

#### 4. SUMMARY

In this paper we have proposed a new model for the generation of correlated shadow fading gains. The network correlation



**Fig. 8.** Normalized correlation function comparison

model has been constructed based on an underlying potential field and a shadow fading gain function which satisfies the principles of shadow fading. The proposed model enables the generation of correlated gains between any pair of links in the network. With the advent of autonomous networks and dense irregular deployment of base stations, this model is crucial for a realistic performance evaluation of the network algorithms. Some relevant statistics of the model have also been compared against the Gudmundson model.

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