Joint User Grouping and Power Allocation for MISO Systems: Learning to Schedule

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Abstract—In this paper, we address a joint user scheduling and power allocation problem from a machine-learning perspective in order to efficiently minimize data delivery time for multiple-input single-output (MISO) systems. The joint optimization problem is formulated as a mixed-integer and non-linear programming problem, such that the data requests can be delivered by minimum delay, and the power consumption can meet practical requirements. For solving the problem to the global optimum, we provide a solution to decouple the scheduling and power optimization. Due to the problem's inherent hardness, the optimal solution requires exponential complexity and time in computations. To enable an efficient and competitive solution, we propose a learning-based approach to reduce data delivery time and solution's computational delay, where a deep neural network is trained to learn and decide how to optimize user scheduling. In numerical study, the developed optimal solution can be used for performance benchmarking and generating training data for the proposed learning approach. The results demonstrate the developed learning based approach is able to significantly improve the computation efficiency while achieves a near optimal performance.

Index Terms—Time minimization, machine learning, power allocation, user scheduling.

I. INTRODUCTION

For the upcoming 5G communication systems, developing intelligent transmission schemes and advanced resource scheduling algorithms are considered as a key enabler to achieve the strict performance requirements 5G [1]. On this track, there are numbers of studies in the literature that develop sophisticated scheduling algorithms. A related topic is transmission scheduling without power control. In [2], the authors investigated a minimum-length scheduling problem for generic wireless networks. The problem's NP-hardness, optimality conditions, and a set of scheduling algorithms have been studied. The authors in [3] developed a columngeneration based scheduling algorithm to complete all the data transmission within a transmission deadline.

For joint transmission scheduling with power control, the authors in [4] proposed centralized and distributed scheduling approaches to improve energy efficiency. In [5], the authors studied joint optimization of link scheduling and power control for energy minimization. Compared to the scheduling problem without power control, the joint optimization for user scheduling and power control is more challenging. This is because the two components, i.e., finding the optimal user groups and the optimal power allocation policy, are mutually coupled in decision making, which typically leads to a nonlinear optimization [5] and thus results in difficulties to deliver optimal solutions [6].

In general, the scheduling optimization problems are hard to solve [2], [3]. It may not be practical to apply a sophisticated algorithm to real-time operations. Due to the problem's inherent hardness, the high computational complexity and long processing time limit the algorithm's applicability in practice. The execution-time for performing an algorithm in real-time systems is typically stringent, e.g., during a scheduling period, one should return the optimized results within a short interval, e.g., seconds or milliseconds [1]. However, executing optimal algorithms may require considerable computational capabilities and time [7]. An optimizer has to balance the algorithm's computation efficiency and the solution quality. In this paper, from a machine-learning perspective, we investigate an alternative trade-off solution for the joint scheduling and power control. Machine/deep learning techniques have received much attention in wireless communications over the past few years [8]-[11]. In [10], [11], the authors proposed a set of learning based optimization approaches to improve the scheduling performance and reduce the computational complexity without considering power control.

In this paper, we tackle the joint scheduling and power control problem for multiple-input single-output (MISO) systems from a machine learning perspective. Firstly, we formulate the joint user grouping and power allocation as an integer non-linear programming problem. Secondly, we provide an approach to decouple these two parts and obtain optimal solutions for performance benchmarking. The optimization approach, however, imposes an exponential computing complexity, which may limit its capability in real-time applications. Thirdly, we design a learning-based algorithm to enable fast execution in decision making while providing competitive performance. The derived optimal solutions are used to prepare data sets for training a deep neural network to learn the optimal solution in grouping and scheduling. Finally, we use numerical results to demonstrate the promising capabilities of the proposed learning approach in approximating optimal scheduling solution and reducing computational time.

II. SYSTEM MODEL

We consider a downlink MISO system including an Lantenna base station (BS) and K single-antenna users denoted as $\mathcal{K} = \{1, \ldots, k, \ldots, K\}$. All the users share a common communication channel in transmission. The k-th user requests a content of length Q_k bits. We assume the wireless channel follows the block Rayleigh fading. In order to mitigate cochannel interference and improve the transmission efficiency, the dynamic user-group scheduling and precoding strategy are adopted. Let g denote a user group and \mathcal{K}_g denotes the users included in group g. When group g is scheduled, the BS will deliver the requested contents to all the users in \mathcal{K}_g until all the transmissions for \mathcal{K}_g are completed. In total, there are $G = 2^K - 1$ possible candidate groups by enumerating all of the user groups, and the union of all the candidates is denoted by $\mathcal{G} = \{1, ..., g, ..., G\}$. For instance, when K = 3, we can list all the candidate groups $\{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}.$

The channel vector of user k is denoted by $\mathbf{h}_k \in \mathbb{C}^{L \times 1}$, where L is the number of antennas. We assume \mathbf{h}_k follows circular-symmetric complex Gaussian distribution $\mathbf{h}_k \sim \mathcal{CN}(\mathbf{0}, \sigma_{h_k}^2 \mathbf{I}_L)$, where $\sigma_{h_k}^2$ is the parameter related to the path loss between the BS and user k. In order to mitigate interuser interference, the BS precodes the data before serving the users. Denote x_k^g as the modulated signal and $\mathbf{w}_k^g \in \mathbb{C}^{L \times 1}$ as the precoding vector for user k in group g. The received signal at user $k \in \mathcal{K}_g$ is given as

$$y_k^g = \mathbf{h}_k^H \mathbf{w}_k^g x_k^g + \sum_{i \in \mathcal{K}_g \setminus \{k\}} \mathbf{h}_k^H \mathbf{w}_i^g x_i^g + n_k, \qquad (1)$$

where the first and second terms in (1) represent the desired signal and the inter-user interference respectively. n_k is Gaussian noise with zero mean and variance σ^2 . The signal-to-interference-plus-noise ratio (SINR) for user $k \in \mathcal{K}_g$ is given by $\mathrm{SINR}_k^g = \frac{|\mathbf{h}_k^H \mathbf{w}_k^g|^2}{\sum\limits_{i \in \mathcal{K}_g \setminus \{k\}} |\mathbf{h}_k^H \mathbf{w}_i^g|^2 + \sigma^2}$. The achievable data rate can be expressed as

$$R_k^g = B \log_2 \left(1 + \text{SINR}_k^g \right), k \in \mathcal{K}_g.$$
⁽²⁾

With respect to the delivery time, once the scheduling combination C is determined, the selected groups will be scheduled sequentially. Denote t_g as the transmission duration when group g is scheduled. The transmission for a scheduled group g will last until all the users' requests in \mathcal{K}_g are satisfied. t_g is given as $t_g = \max_{k \in \mathcal{K}_g} \frac{Q_k}{R_k^g}$. The total delivery time is defined as $T_{tot} = \sum_{g \in C} t_g$.

III. JOINT SCHEDULING AND POWER ALLOCATION PROBLEM

We consider a joint user scheduling and power allocation problem in order to minimize the total delivery time. The zeroforcing (ZF) precoding is adopted for each group due to its low computational complexity. For each scheduled group g, denote H_g as the channel coefficients from the BS's antennas to the users in group g, which is a $|\mathcal{K}_g| \times L$ matrix. Under the ZF design, the beamforming vector for user k in group g is of the form $\mathbf{w}_k^g = \sqrt{p_k^g} \tilde{\mathbf{w}}_k^g$, where p_k^g is the power allocation for user k in group g and $\tilde{\mathbf{w}}_k^g$ is the k-th column of the ZF precoding matrix $\mathbf{H}_g^G (\mathbf{H}_g \mathbf{H}_g^H)^{-1}$. It is worth noting that under the ZF design, the inter-user interference is fully mitigated, i.e., $|\mathbf{h}_k^H \mathbf{w}_j^g| = \delta_{kj}$. Then the achievable rate for user k in group g is given as

$$R_k^g = B \log_2\left(1 + p_k^g / \sigma^2\right), k \in \mathcal{K}_g.$$
(3)

The transmit power of user k is $p_k^g \beta_k^g$, where $\beta_k^g = || \tilde{\mathbf{w}}_k^g ||^2$, $\forall k \in \mathcal{K}_g$.

A. Problem Formulation

The joint user scheduling and power allocation is formulated in P0. We introduce two sets of variables, $z_g \in \{0, 1\}$, $\forall g \in \mathcal{G}$ and p_k^g , $\forall k \in \mathcal{K}, \forall g \in \mathcal{G}$. The binary variable z_g is used to indicate if group g is scheduled ($z_g = 1$) or not ($z_g = 0$). The continuous variable $p_k^g > 0$ represents the power allocation among the users in group g.

P0: Minimize
$$\sum_{g \in \{0,1\}, p_k^g > 0} \sum_{g \in \mathcal{G}} z_g \max_{k \in \mathcal{K}_g} \frac{Q_k}{R_k^g}$$
 (3a)

s.t.
$$R_k^g \ge \eta_k, \forall k \in \mathcal{K}_g, \forall g \in \mathcal{G},$$
 (3b)

$$\sum_{k \in \mathcal{K}_{g}} \beta_{k}^{g} p_{k}^{g} \le P_{tot}, \forall g \in \mathcal{G}, \qquad (3c)$$

$$\sum_{g \in \mathcal{G}} a_{kg} z_g = 1, \forall k \in \mathcal{K}.$$
 (3d)

The objective in P0 is to minimize the total data transmission length, where the duration of scheduling group g depends on $\max_{k \in \mathcal{K}_g} \frac{Q_k}{R_k^g}$. Constraint (3b) is to guarantee users' minimum rate η_k in their transmission period. The second constraint (3c) restricts that the power consumption in each group cannot exceed power budget P_{tot} . In constraints (3d), we consider that each user is scheduled once to reduce the implementation complexity and the signaling overhead in practice, where binary parameters $a_{kg} = 1$ indicates group g contains user k, otherwise 0.

B. Optimal solution

In general, P0 is a mixed integer non-linear programming problem. To enable the optimal solution, we observe that the problem can be decomposed to two steps. In the first step, we enumerate all the groups and optimize the power allocation within each group such that the QoS constraints (3b) and (3c) are satisfied for each group and users. In the second step, we select a combination of groups, which leads to the minimum transmission time, and covers all the users. In addition, each user appears only once in the combination.

Firstly, for each group g, the intra-group power allocation problem can be formulated in P1(g):

$$P1(g): \underset{p_k^g>0}{\operatorname{Minimize}} \max_{k \in \mathcal{K}_g} \frac{Q_k}{R_k^g}$$
(4)

s.t.
$$R_k^g \ge \eta_k, \forall k \in \mathcal{K}_g$$
 (4a)

$$\sum_{k \in \mathcal{K}_g} \beta_k^g p_k^g \le P_{tot}, \tag{4b}$$

By introducing a variable T_g , problem P1(g) is equivalent to the following problem:

$$P1'(g): \underset{p_k^g > 0, T_g \ge 0}{\text{Minimize}} \quad T_g \tag{5}$$

s.t.
$$T_g \ge \frac{Q_k}{\log_2(1+p_k^g/\sigma^2)}, \forall k \in \mathcal{K}_g$$
 (5a)

$$\log_2(1+p_k^g/\sigma^2) \ge \eta_k, \forall k \in \mathcal{K}_g \quad (5b)$$

$$\sum_{k \in \mathcal{K}_g} \beta_k^g p_k^g \le P_{tot},\tag{5c}$$

The constraint (5a) is equivalent to, $\log_2(1+p_k^g/\sigma^2) - \frac{Q_k}{T_q} \ge 0, \forall k \in \mathcal{K}_g$, which is a convex constraint. Thus P1'(g) is a convex problem, and can be solved by standard tools [12]. The next optimization task is to select a group combination leading to the minimum transmission time and covering all the users once. The problem can be formulated by an integer linear programming (ILP) problem in P2.

P2: Minimize
$$\sum_{g \in \{0,1\}} z_g t_g$$
 (6)

s.t.
$$\sum_{g \in \mathcal{G}} a_{kg} z_g = 1, \forall k \in \mathcal{K}$$
 (6a)

After solving P2, the solution z_g can be used for generating the optimal group combination C_{opt} by deleting the unscheduled groups ($z_g = 0$) from \mathcal{G} . The optimal method is summarized as Algorithm 1.

Algorithm 1 Optimal Algorithm1: Inputs:2: $Q_1, \ldots, Q_K, \mathbf{h}_1, \ldots, \mathbf{h}_K, \eta_1, \ldots, \eta_K, \text{ and } P_{tot}$ 3: Outputs:4: C_{opt} and power allocation $p_k^g, k \in \mathcal{K}_g, g \in \mathcal{C}_{opt}$ 5: for each $g \in \mathcal{G}$ do6: Solve P1'(g) and obtain $p_k^g, k \in \mathcal{K}_g$ 7: Compute t_g based on $\max_{k \in \mathcal{K}_g} \frac{Q_k}{R_k^g}$ 8: end for9: Solve P2 and obtain $z_g, g \in \mathcal{G}$ 10: Use the groups with $z_g = 1$ to form a combination \mathcal{C}_{opt}

By our characterizations, the optimal solution of P0 can be obtained by solving a convex problem for each group along with solving an integer linear programming problem P2. The whole problem is inherently hard and with high complexity since exponential number of groups need to be optimized by P1'(g). Moreover, the subproblem P2 is equivalent to an exact cover problem which is known as NP-complete [13]. Algorithm 1 can be used as an offline optimization method to provide optimal solutions, and for performance benchmarking. However, the searching space and the consumed time exponentially increase with the number of users, then this algorithm may not be able to meet the stringent latency requirements in real-time applications.

IV. SCHEDULING ALGORITHM DESIGN BASED ON DEEP LEARNING

In order to overcome the high complexity in obtaining the optimum, we design a deep neural network (DNN) based approach to provide a high-quality and computational-efficient solution for the primal problem P0. The optimal algorithm in Section III is used to generate training sets. The after-trained DNN model is then applied to predict the scheduled groups.

We denote the training data as (\mathbf{x}, \mathbf{y}) . The input \mathbf{x} refers to the channel vector $\mathbf{h}_k \in \mathbb{C}^{L \times 1}$, users' demands $Q_1, ..., Q_K$, users' rate requirements $\eta_1, ..., \eta_K$, and the maximum power P_{tot} . For the DNN outputs, they can not be treated as the optimal solution $z_1, ..., z_G$ directly, since P0 has an exponential number of variables and has constraints to be satisfied. This introduces difficulties to achieve solution feasibility and good prediction performance. For instance, DNN may determine to schedule several groups. These groups could violate constraints (3d). Instead, the output node is designed as the possibility of using a group combination. The reason is that due to the system limitations, e.g., number of antennas, the number of all the group combinations, denoted by N, can be much less than the number of groups G. Moreover, the feasibility issue of constraints (3d) can be resolved in each combination. By our design, we first list all of the candidate combinations as a union $\mathcal{C} = \{\mathcal{C}_1, ..., \mathcal{C}_N\}$. Then the output of the DNN prediction is organized in a N-dimension binary vector $\mathbf{y} = [y_1, ..., y_N]$. If $y_n = 1$, it means the combination \mathcal{C}_n is selected and all the groups in combination \mathcal{C}_n are scheduled. For example, when K = 3 and L = 2, the candidate groups are $\{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}$ and G = 6. Note that the group $\{1, 2, 3\}$ is excluded due to the practical limit of $|\mathcal{K}_g| \leq L$. The candidate combinations are $\{\{1\}, \{2\}, \{3\}\}, \{\{1,2\}, \{3\}\}, \{\{1,3\}, \{2\}\}, \{\{2,3\}, \{1\}\}$ and N = 4. If the combination $\{\{1, 2\}, \{3\}\}$ is selected, vector \mathbf{y} is [0, 1, 0, 0] in the training set.

For preparing the training set, we generate the instances with different channel conditions, demands, rate requirements and power limits. After sufficient training, the DNN is able to predict the grouping information **y**. That is, given a new input $\hat{\mathbf{x}}$, the after-trained DNN will provide the estimated scheduling decision $\hat{\mathbf{y}}$. However, the original DNN output is not binary which cannot serve as an indicator for user scheduling. To solve the problem, we use the sigmoid function [14] in the DNN's output layer to limit the value between 0 and 1. Then, a rounding operation is adopted to convert fractional values to binary. More specifically, we use M as the mean of $\hat{\mathbf{y}}$. If any fractional value $\hat{y}_n > \alpha M$, we set $\hat{y}_n = 1$, otherwise zero, where $\alpha > 0$ is a control parameter. By design, smaller α increases the likelihood of "1" in $\hat{\mathbf{y}}$, which also contributes to the improvement of prediction accuracy.

The DNN-based approach is summarized in Algorithm 2. It is worth nothing that there are three cases with regard to $\hat{\mathbf{y}}$ after rounding. The ideal case is $\| \hat{\mathbf{y}} \| = 1$, which means only one element is 1. Then the scheduled groups are from this only combination. In the second case, vector

 $\hat{\mathbf{y}}$ may have multiple elements with value "1". Hence, we design a re-selection scheme to make final decisions. Firstly, we delete a considerably large amount of combinations from \mathcal{C} according to $\hat{\mathbf{y}}$. The remaining combinations compose a reduced candidate list \mathcal{C}^* . For example, if $\hat{\mathbf{y}} = [0, 1, 1, 0]$, then the combination list $\mathcal{C} = \{C_1, C_2, C_3, C_4\}$ is reduced to $\mathcal{C}^* = \{C_2, C_3\}$. After that, we recall Algorithm 1 with the restricted set \mathcal{C}^* and obtain the final scheduling combination \mathcal{C}_{dnn} more efficiently. In the third case, DNN may return an all-zero vector $\hat{\mathbf{y}}$. For dealing with this case, we then adjust the control parameter α as follows to enable at least one element with value "1".

Algorithm 2 Learning-based Approach

1: Inputs: $\mathcal{C}, Q_1, \ldots, Q_K, \mathbf{h}_1, \ldots, \mathbf{h}_K, \eta_1, \ldots, \eta_K, \text{ and } P_{tot}$ 2: 3: Outputs: C_{dnn} and power allocation for each user 4. 5: Given a new input $\hat{\mathbf{x}}$ to the well-trained DNN 6: Apply rounding operations with α then obtain $\hat{\mathbf{y}}$ 7: if $\| \hat{\mathbf{y}} \| > 1$ then Delete combinations from C according to the zero 8: elements in $\hat{\mathbf{v}}$ Obtain the restricted set \mathcal{C}^* 9: Apply Algorithm 1 based on \mathcal{C}^* 10: Obtain the selected combination C_{dnn} and power 11: allocation for each user 12: 13: else if $\|\hat{\mathbf{y}}\| = 1$ then 14: Choose the only combination as C_{dnn} 15: else if $\| \hat{\mathbf{y}} \| = 0$ then 16: Reduce α until $\| \hat{\mathbf{y}} \| \geq 1$ 17: end if 18: for each group g in \mathcal{C}_{dnn} do 19: 20: Solve P1'(g) and obtain p_k^g 21: end for 22: end if

V. PERFORMANCE EVALUATION

In this section, we evaluate the performance of the DNNassisted approach, in terms of computational time, and performance gaps between the proposed learning approach and the optimal solutions. In the simulation, the BS is equipped with up to 5 antennas, serving up to 10 users. We set $\sigma^2 = 0.01, B = 1$ MHz and $\sigma_{h_k}^2 = 1, \forall k$. We limit the cardinality of each group to the number of antennas. If L = 5and K = 10, the number of candidate groups is $G = C_{10}^5$ and the number of the group combinations is $N = \frac{C_{10}^5}{2}$. For DNN implementation, TensorFlow framework is used for building the neural network in Python. We design a fully connected DNN with two hidden layers. The DNN input is organized to a one-dimension vector, which consists of channel coefficients $|h_{lk}|^2, \forall l \in \{1, ..., L\}, \forall k \in \{1, ..., N\}$, users' demand $Q_1, ..., Q_K$, users' rate requirement $\eta_1, ..., \eta_K$, and power limit P_{tot} . Thus the number of the input nodes is K(L+2) + 1. During the training phase, mean square error (MSE) is adopted as the loss function which is minimized by the Adam method [14]. Besides, a regularization item is introduced to prevent overfitting. In the DNN testing phase, 100 test sets/samples are used to average the results. Since the selected parameters of DNN are able to affect the satisfactory performance, we perform some pretests for evaluating several parameters, e.g., number of hidden layers and neurons per layer. The DNN settings are summarized in Table I.

Table I DNN SETTINGS

Parameter	Value
Number of input nodes	K(L+2) + 1
Number of hidden layers	3
Number of nodes for hidden layers	300
Number of output nodes	N
Activation function in hidden layers	Relu
Activation function in output layer	Sigmoid
Loss function	MSE
Optimizer	Adam optimization

To demonstrate the computation efficiency of the DNNassisted approach, the CPU time (in seconds) of the DNN and the optimal method are compared in Table II. The computational time in DNN test phase (CPU time for executing lines 5-6 in Algorithm 2) is insensitive to the problem's scale. It keeps at the same magnitude in both cases. In general, the computational time of the proposed DNN-assisted approach (CPU time for executing line 5-22 in Algorithm 2) is dramatically reduced compared with the optimal algorithm. We remark that the total CPU time in Algorithm 2 varies with three cases of $||\hat{\mathbf{y}}||$. It is noted that, in the case of $||\hat{\mathbf{y}}|| > 1$, the time is more than the other two cases. This is because when $||\hat{\mathbf{y}}|| > 1$, the power allocation problem P1'(g) needs to be solved for more groups.

Table II CPU TIME IN COMPUTATION

Algorithmic Solutions	K = 4	K = 10
	L=2	L = 5
Algorithm 1	6.031	354.140
DNN test phase in Alg. 2	0.083	0.139
Alg. 2 for case 1, $\ \hat{\mathbf{y}}\ = 1$	1.211	2.910
Alg. 2 for case 2, $\ \hat{\mathbf{y}}\ > 1$	2.451	32.145
Alg. 2 for case 3, $\ \hat{\mathbf{y}}\ = 0$	1.330	3.039

Fig. 1 shows the performance of the DNN-assisted approach compared with the optimal solution, with increasing the training set size. The performance gaps on the vertical axis represents the relative delivery time of the DNN-assisted method with respect to the optimum value. In general, using more data in the training set improves the prediction accuracy of the DNN-based method. In particular, the performance reaches to the optimum when the size of training set is around 800, leading to the smallest gap around 8%, 9% and 10% in the case of $\alpha = 2.4$, $\alpha = 2.6$ and $\alpha = 2.8$ respectively.



Figure 1. Average gaps between DNN and optimum (K = 10, L = 5)

Fig. 2 presents the difference of the total data transmission length T_{tot} between the DNN-assisted approach and the optimal method in each tested case. Over 100 testing samples, the average gaps of objective values between the two algorithms are small, around 8%. This shows that the DNN approach is able to provide a near-optimal solution with high computational efficiency.



Figure 2. Transmission time comparison between the DNN-based algorithm and the optimal algorithm ($K = 10, L = 5, \alpha = 2.8$, training set size= 800)

Next, we evaluate the effect of α on the performance gap and the computation complexity in the re-selection phase. As shown in Fig. 3, the time monotonically decreases with the growth of α , whereas the performance of the DNN in approaching to the optimum is degraded. As we analyzed in section IV, when α is large, a small amount of combinations will be in the restricted candidate set \mathcal{C}^* , thus leading to less computational time. On the other hand, the fewer candidates in \mathcal{C}^* can possibly result in higher the probability to loss optimality.



Figure 3. Optimality and re-selection time with different α (K = 10, L = 5, training set size= 800)

VI. CONCLUSION

We have investigated the joint user scheduling and power allocation problem for multiuser MISO systems to minimize the data delivery time. In order to obtain the global optimum, an optimal method with exponential complexity was designed. Toward an efficient solution, we trained a DNN to learn the mapping function between the problem's inputs and the optimal solutions. We proposed a DNN-assisted approach to enable near-optimality and less computational delay. Numerical results show the DNN-assisted approach can wellapproximate the optimum with low computation complexity.

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